

# Comments on dynamical gauge fields in holography

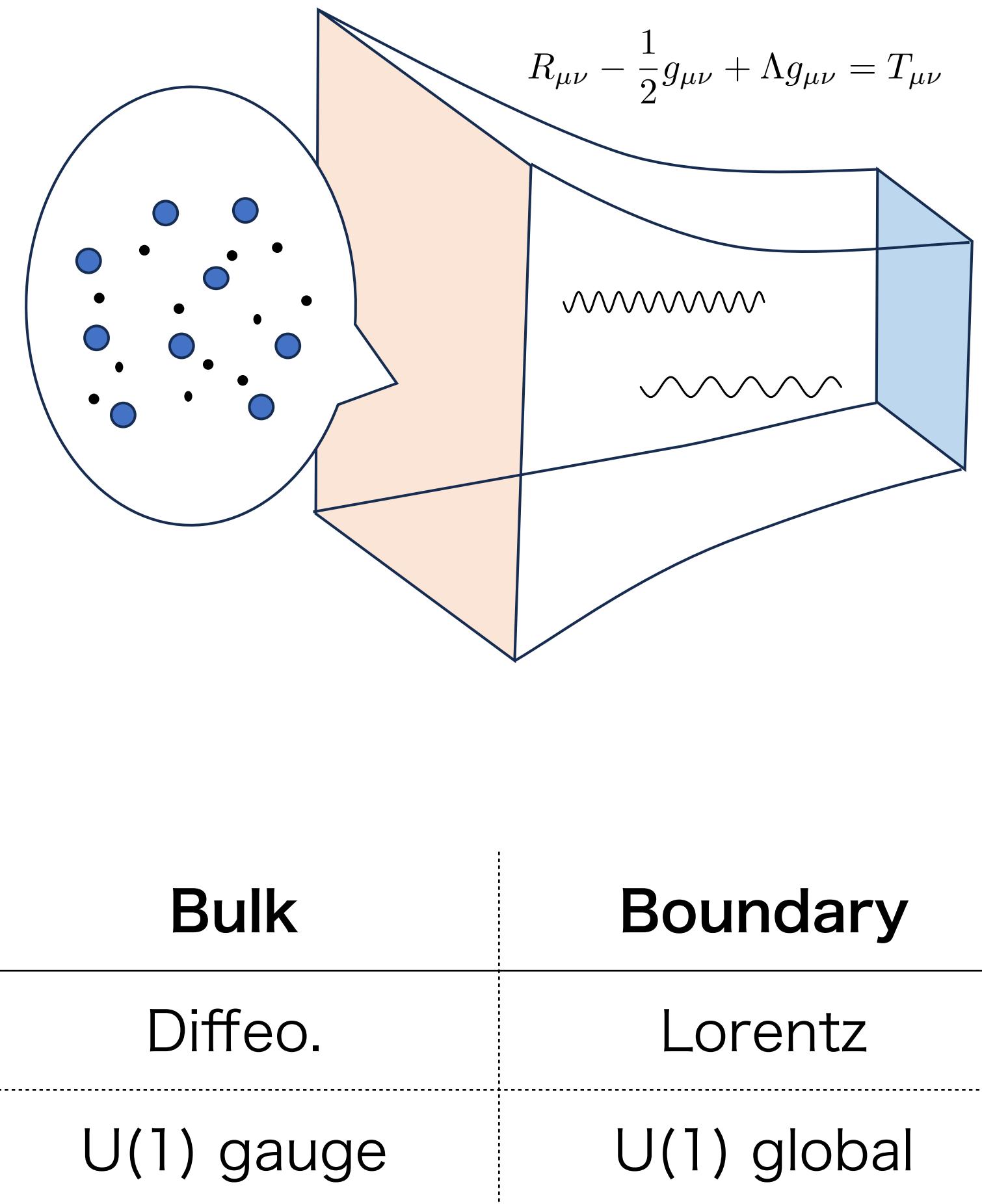
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- In holography,  $U(1)$  gauge fields are usually not dynamical in the boundary theory.
- **Bulk local symmetry = Boundary global symmetry**
- On the other hand, the double-trace deformation is utilized to make the boundary gauge fields dynamical.
- I revisit and review this method.



# Holographic dictionary

- In asymptotic AdS, fields (e.g. scalar) behave as

$$\phi(z) = z^{d-\Delta} \phi^{(0)} + z^\Delta \phi^{(\nu)} + \dots$$

The **leading term** is **non-normalizable** mode, its coefficient is **source**.

The **sub-leading term** is **normalizable** mode, its coefficient is **VeV**.

(called standard quantization)

- In some cases, both terms are normalizable.

Then, one can consider alternative quantization.

# What does “normalizable” mean?

- That is in the sense of Klein-Gordon inner product,

$$(\Phi_1, \Phi_2) = \int_{\Sigma} \sqrt{g_{\Sigma}} \frac{i}{2} n^{\mu} (\Phi_1^* \partial_{\mu} \Phi_2 - \Phi_2 \partial_{\mu} \Phi_1^*), \quad \begin{cases} \Sigma : \text{spacelike surface} \\ n^{\mu} : \text{timelike normal} \end{cases}$$

- Consider mode expansion  $\Phi = e^{ik \cdot x} \phi(r)$

$$(\Phi_1, \Phi_2) = (2\pi)^{d-1} \delta^{(d-2)}(\vec{k}_2 - \vec{k}_1) (\omega_1 + \omega_2) e^{i(\omega_1 - \omega_2)t} \int dr \sqrt{g/g_{tt}} \phi_1^* \phi_2,$$

# Strum-Liouville inner product

- In simple cases, the bulk integral becomes SL inner product

$$(\phi_1, \phi_2)_{\text{SL}} = \int dr w(r) \phi_1^*(r) \phi_2(r).$$

SL problem is given by

$$\left[ \frac{d}{dr} \left( p(r) \frac{d}{dr} \right) + \lambda w(r) - q(r) \right] \phi(r) = 0, \quad \lambda : \text{eigenvalue.}$$

- We can evaluate it by

$$(\phi_m, \phi_n)_{\text{SL}} = \frac{1}{\lambda_m - \lambda_n} p(r) \left. \frac{\phi_m^*(r) \phi_n'(r) - \phi_n(r) \phi_m'^*(r)}{\text{Wronskian}} \right|_{\partial}$$

Wronskian

$$\mathcal{W}(\phi_m, \phi_n) := \phi_m^*(u) \phi_n'(u) - \phi_n(u) \phi_m'^*(u)$$

# Scalar fields in AdS<sub>d+1</sub>

- Let's consider a basic example: massive scalar in planer AdS<sub>d+1</sub>.

$$S = \frac{1}{2} \int d^d x \sqrt{-g} [-(\partial\phi)^2 - m^2 \phi^2],$$

$$ds^2 = L^2 \frac{-dt^2 + dx_{d-1}^2 + du^2}{u^2}.$$

$$(L = 1)$$

A massive scalar field in  $\text{AdS}_{d+1}$  obeys

$$z^2 \partial_z^2 \phi - (d-1)z \partial_z \phi - m^2 \phi - k^2 z^2 \phi = 0.$$

The SL coefficients read

$$\lambda = -k^2, \quad p(z) = z^{1-d}, \quad q(z) = m^2 z^{-1-d}, \quad w(z) = z^{1-d}.$$

The asymptotic expansion is

$$\phi(z) = z^{d/2-\nu} \left( \phi_{(0)} + z^2 \phi_{(1)} + z^{2\nu} \phi_{(\nu)} + \dots \right), \quad \nu = \sqrt{\frac{d^2}{4} + m^2}.$$

Note: When  $2\nu \in \mathbb{Z}$ , logarithmic terms must appear in the expansion.

A regular solution with spacelike momentum

$$\phi(z) = 2^{1-\nu} \mathcal{C} z^{d/2} K_\nu(kz), \quad k = \sqrt{\eta^{ij} k_i k_j}.$$

The leading contribution from the AdS boundary becomes

$$\frac{p(z)\mathcal{W}(\phi_1^*, \phi_2)}{-k_1^2 + k_2^2} = |\mathcal{C}|^2 \left\{ \underbrace{\frac{z^{2-2\nu}}{2(1-\nu)} k_1^{-\nu} k_2^{-\nu} \Gamma(\nu)^2 + 2^{1-2\nu} \frac{k_1^\nu k_2^{-\nu} - k_1^{-\nu} k_2^\nu}{k_1^2 - k_2^2} \nu \Gamma(\nu) \Gamma(-\nu)}_{\text{red}} + \dots \right\}.$$

For norm ( $\phi_1 = \phi_2$ )

$$= |\mathcal{C}|^2 \left\{ \underbrace{\frac{z^{2-2\nu}}{2(1-\nu)} k^{-2\nu} \Gamma(\nu)^2 + \frac{2^{1-2\nu}}{k^2} \nu^2 \Gamma(\nu) \Gamma(-\nu)}_{\text{red}} + \dots \right\}.$$

This term diverges if  $\nu > 1$ .

In more general form,

$$\frac{p(z)\mathcal{W}(\phi_1^*, \phi_2)}{-k_1^2 + k_2^2} = \frac{1}{2(1-\nu)} z^{2-2\nu} \phi_1^{(0)} \phi_2^{(0)} - \frac{2\nu}{k_1^2 - k_2^2} (\phi_1^{(0)} \phi_2^{(\nu)} - \phi_2^{(0)} \phi_1^{(\nu)}) + \dots$$

This term diverges if  $\nu > 1$ .  $\nu = \sqrt{\frac{d^2}{4} + m^2}$

Recall  $\phi(z) = z^{d/2-\nu} \left( \phi^{(0)} + z^2 \phi^{(1)} + z^{2\nu} \phi^{(\nu)} + \dots \right).$

In this sense,  $\phi^{(0)}$  represents **non-normalizable** mode.

(The contribution from another side (horizon) is finite.)

# Mass window

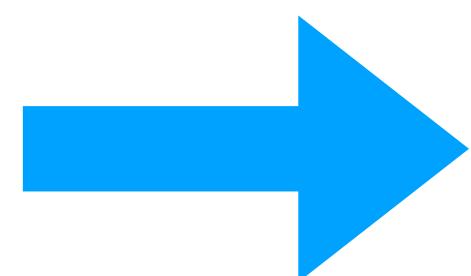
The condition for the convergence:

$$\nu = \sqrt{\frac{d^2}{4} + m^2 L^2} < 1$$

Scaling dimension:  $\Delta = \frac{d}{2} + \sqrt{\frac{d^2}{4} + m^2 L^2}$ .

Reality condition = Breitenlohner-Freedman bound

$$\frac{d^2}{4} + m^2 L^2 \geq 0$$



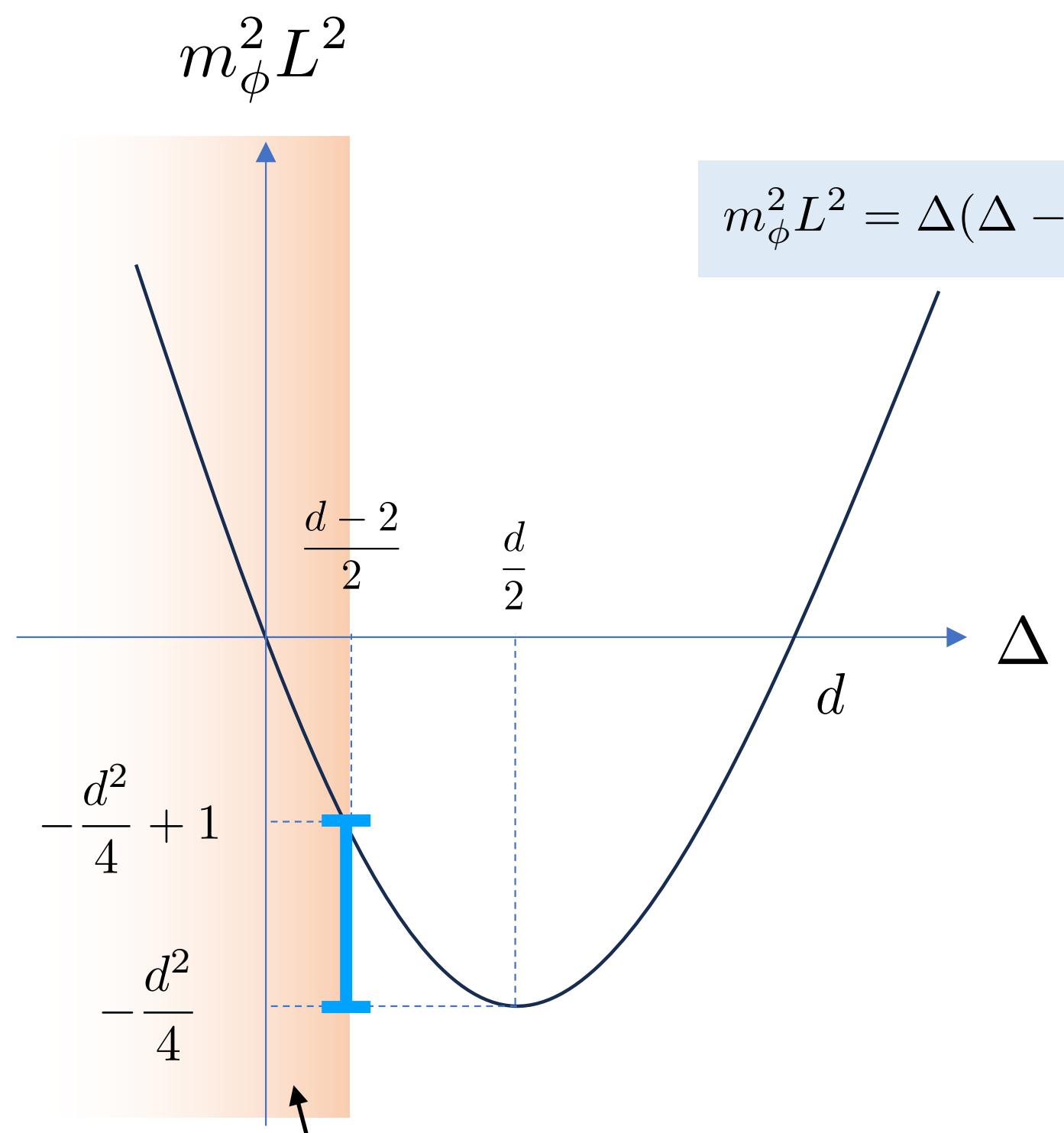
$$-\frac{d^2}{4} \leq m^2 L^2 < -\frac{d^2}{4} + 1$$

(Bulk) mass window for alternative quantization

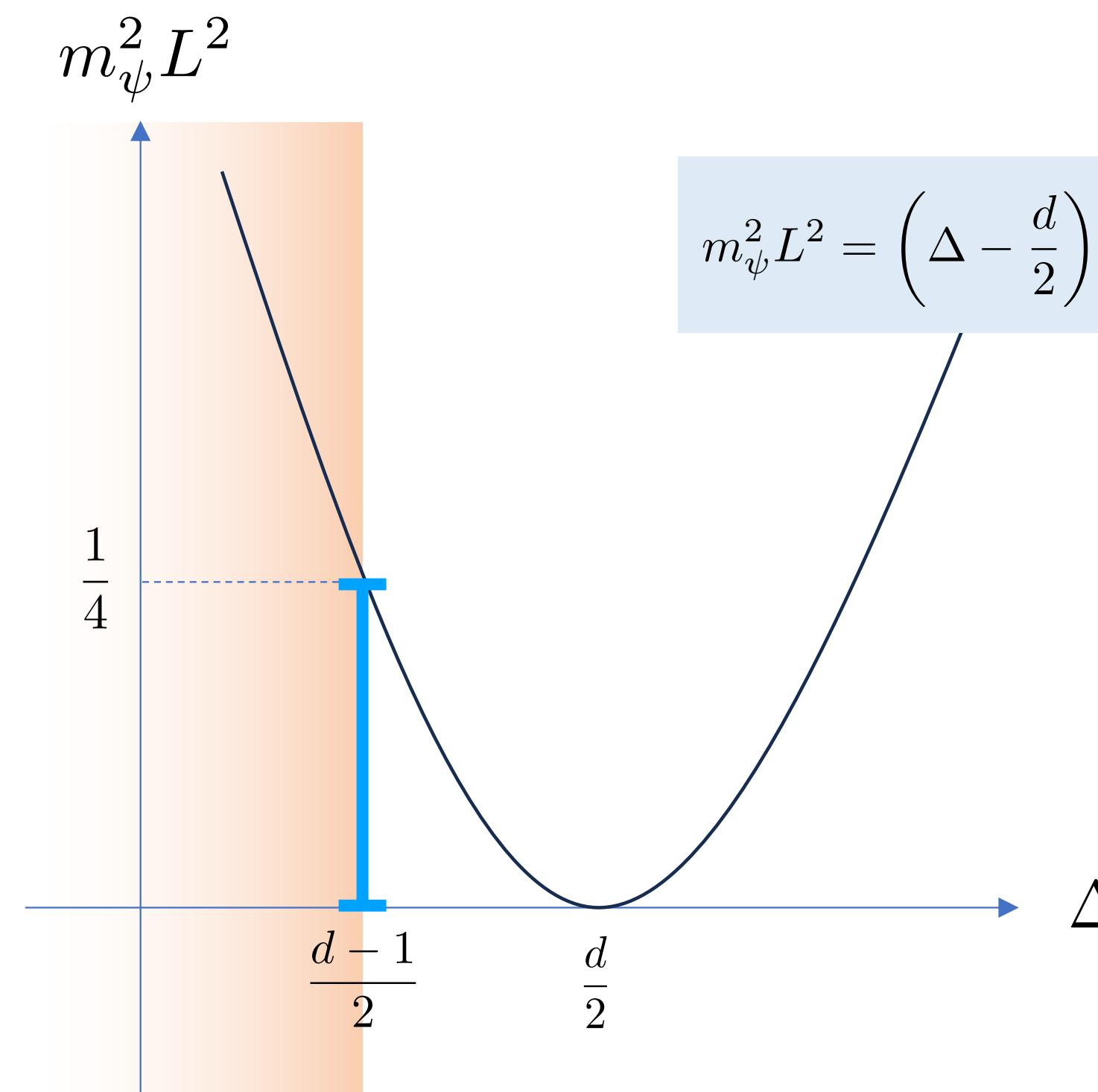
~ unitarity bound in CFT

# Mass window for various spin

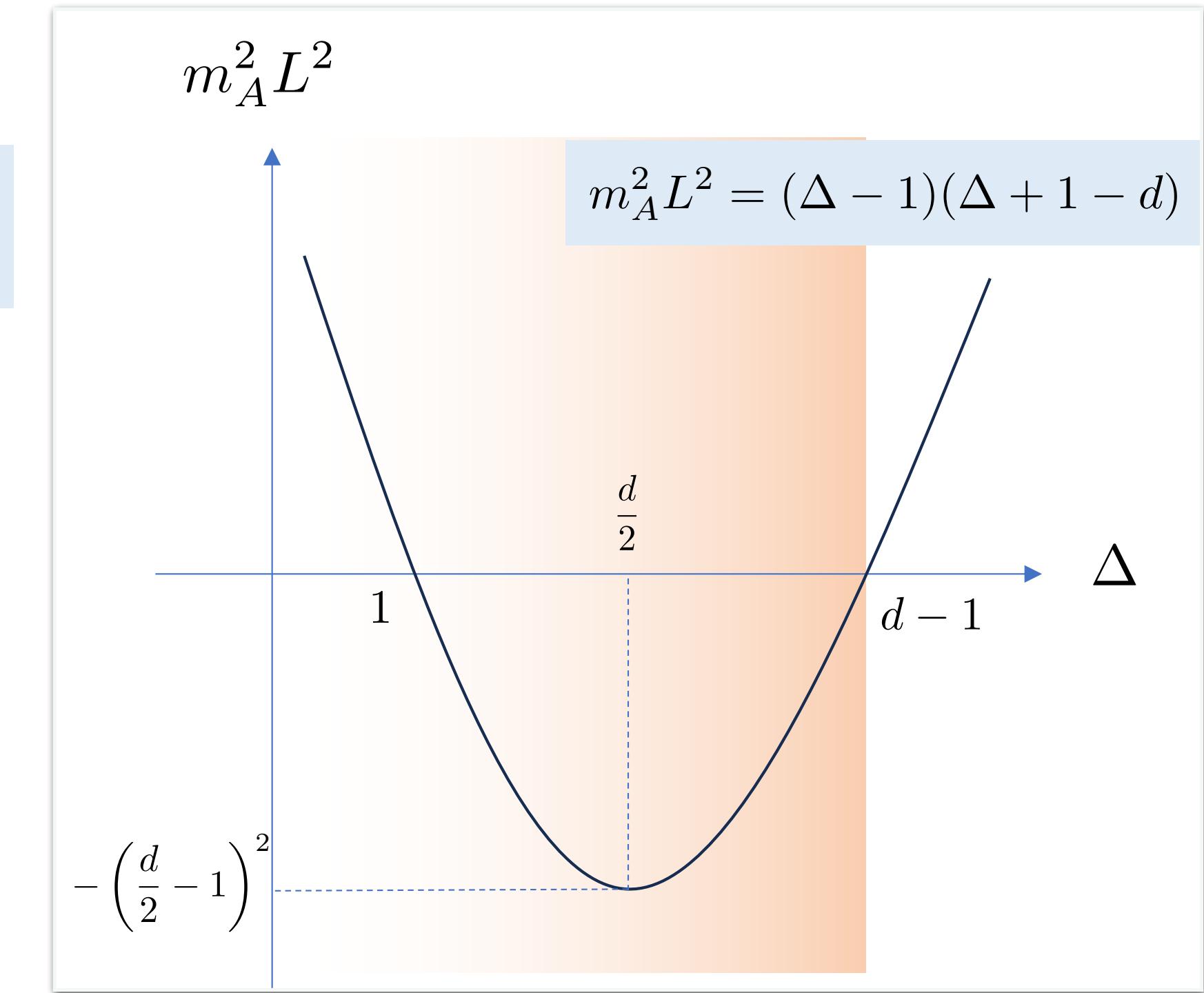
Scalar



Spinor



Vector



Unitarity bound violated  
Note: The bound depends on spin.

No range allows  
alternative quantization

# Notes

- Holographic models require holographic renormalization by adding counterterms.
- In the case of non-normalizable modes exist, the counterterms must include kinetic terms.
- If one considers the KG inner product of this kinetic term, it precisely cancel the divergence of bulk inner product.
- However, such a prescription leads to ghosts.

Andrade, Marolf (2011) [1105.6337]

Andrade, Marolf (2011) [1112.3085]

# Gauge fields in AdS5

Bulk action:

$$S_{\text{bulk}} = \int d^5x \sqrt{-g} \left( -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right),$$

Counterterms:

$$S_{\text{ct}} = \int_{\epsilon} d^4x \sqrt{-\gamma} \left( -\frac{1}{4} \log\left(\frac{\epsilon}{u_{\text{ct}}}\right) F_{mn} F^{mn} \right).$$

Asymptotic expansion:

$$A_m = \alpha_m \left( 1 + \frac{k^2}{2} u^2 \log \frac{u}{u_{\text{ex}}} \right) + \beta_m u^2 + \dots$$

Variation of the renormalized on-shell action:

$$\delta(S_{\text{bulk}} + S_{\text{ct}}) = \int \frac{d^4k}{(2\pi)^4} \underbrace{\left[ 2\beta^m(k) + k^2 \left( \frac{1}{2} + \log \frac{u_{\text{ct}}}{u_{\text{ex}}} \right) \alpha^m(k) \right]}_{\text{Response}} \underbrace{\delta\alpha_m(-k)}_{\text{Source}}$$

# The double-trace deformations

For this, we additionally consider

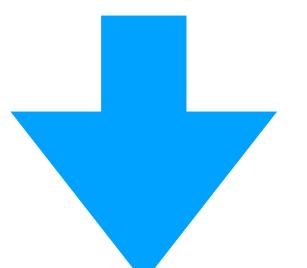
E.g., Mauri, Stoof [1811.11795]

$$S_{\text{fin}} = \int d^4x \left[ -\frac{1}{4\lambda} f^{mn} f_{mn} + \alpha_m J_{\text{ext}}^m \right], \quad f_{mn} := \partial_m \alpha_n - \partial_n \alpha_m.$$

Total variation:

$$\delta(S_{\text{ren}} + S_{\text{fin}}) = \int d^4x \left[ \underbrace{\left( \frac{1}{\lambda} \nabla_m f^{mn} + \Pi^n + J_{\text{ext}}^n \right)}_{=0 \text{ (imposed)}} \delta \alpha_m + \alpha_m \delta J_{\text{ext}}^m \right].$$

VeV      Source



$$\text{New external source: } J_{\text{ext}}^n = -\frac{1}{\lambda} \partial_m f^{mn} - \Pi^n.$$

$$\Pi^n := \frac{\delta S_{\text{ren}}}{\delta \alpha_n}.$$

We can rewrite it in terms of the gauge invariants:

$$\begin{aligned} J_{\text{ext}}^t &= \frac{-ik_x}{k_x^2 - \omega^2} \left( 2Z_1^{(S)} - (k_x^2 - \omega^2)Z_1^{(L)} \left( \frac{1}{\lambda} - \log \frac{u_{\text{ct}}}{u_{\text{ex}}} - \frac{1}{2} \right) \right), \\ J_{\text{ext}}^x &= \frac{-i\omega}{k_x^2 - \omega^2} \left( 2Z_1^{(S)} - (k_x^2 - \omega^2)Z_1^{(L)} \left( \frac{1}{\lambda} - \log \frac{u_{\text{ct}}}{u_{\text{ex}}} - \frac{1}{2} \right) \right), \\ J_{\text{ext}}^y &= \frac{1}{-i\omega} \left( 2Z_2^{(S)} - (k_x^2 - \omega^2)Z_2^{(L)} \left( \frac{1}{\lambda} - \log \frac{u_{\text{ct}}}{u_{\text{ex}}} - \frac{1}{2} \right) \right), \end{aligned}$$

where

$$Z_1 = i\omega A_x(u) + ik_x A_t(u), \quad Z_2 = i\omega A_y(u).$$

By solving  $J_{\text{ext}} = 0$ , we obtain

$$\frac{1}{\lambda} = \frac{2}{k_x^2 - \omega^2} \frac{Z_{\alpha}^{(S)}}{Z_{\alpha}^{(L)}} + \frac{1}{2} + \log \frac{u_{\text{ct}}}{u_{\text{ex}}}.$$

# AdS5

The solution in AdS5 is

$$Z_\alpha = \mathcal{C} \times \begin{cases} uH_1^{(1)}(u\sqrt{\omega^2 - k_x^2}) & \omega^2 - k_x^2 > 0, \omega \geq 0, \\ uH_1^{(2)}(u\sqrt{\omega^2 - k_x^2}) & \omega^2 - k_x^2 > 0, \omega < 0, \\ uK_1(u\sqrt{k_x^2 - \omega^2}) & \omega^2 - k_x^2 \leq 0. \end{cases}$$

For spacelike momentum, we obtain

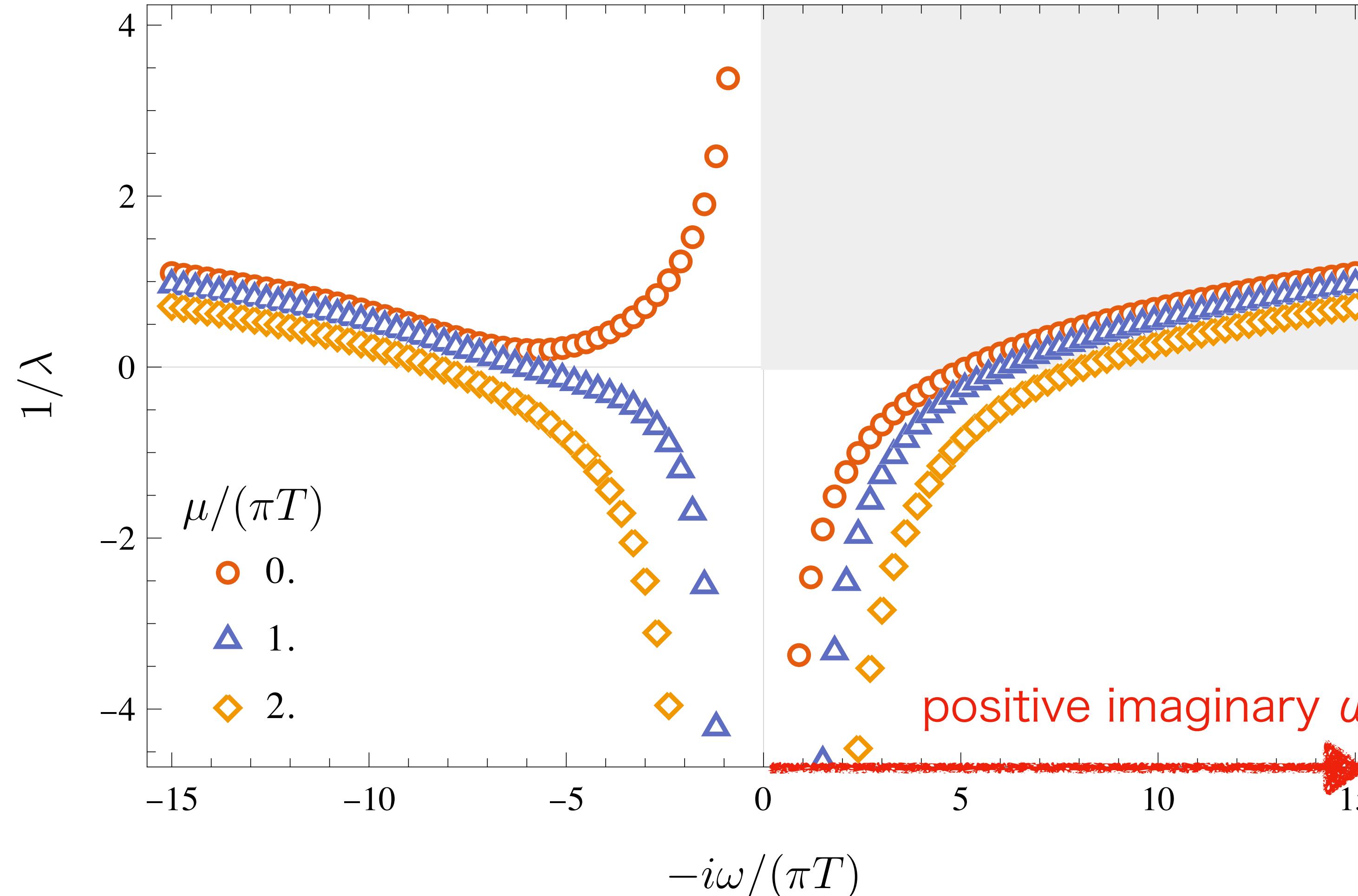
$$\boxed{\frac{1}{\lambda} = \gamma_E + \log u_{ct} + \frac{1}{2} \log \frac{k^2}{2}}.$$

For given  $k$ , we find real-valued  $\lambda$ .

Similar issues:  
Hofman, Iqbal [1707.08577]

Implying **tachyonic mode** under some values of real  $\lambda$ .

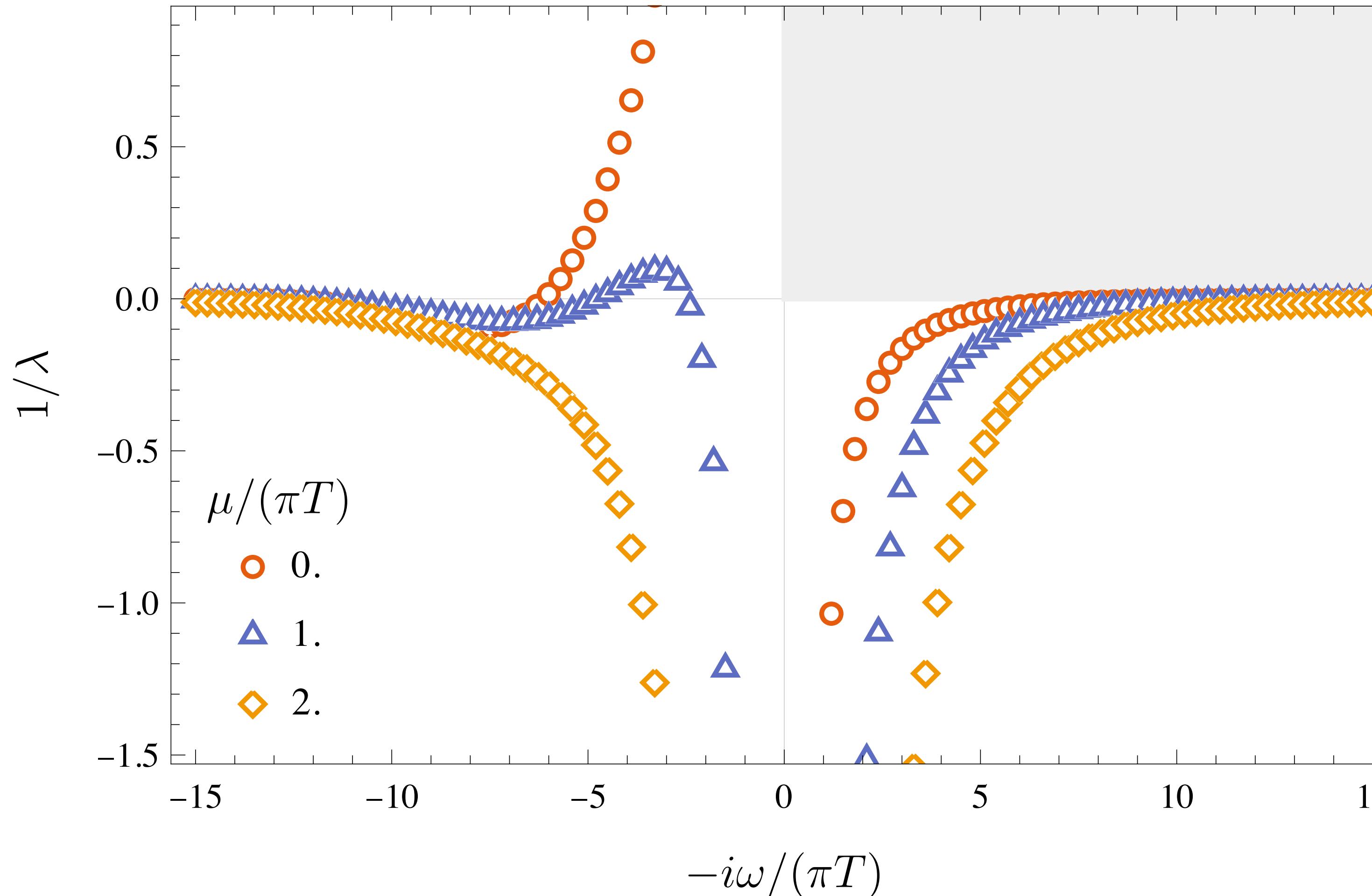
# RNAdS5



The same problem exists in the case of RNAdS5.

The constant  $u_{ct}$  gives just a vertical offset of the plot.

# Ad-hoc fix?



If we set  $u_{\text{ct}} = 2e^{-\gamma_E}/|k|$ ,  
we can remove the  
unstable modes.

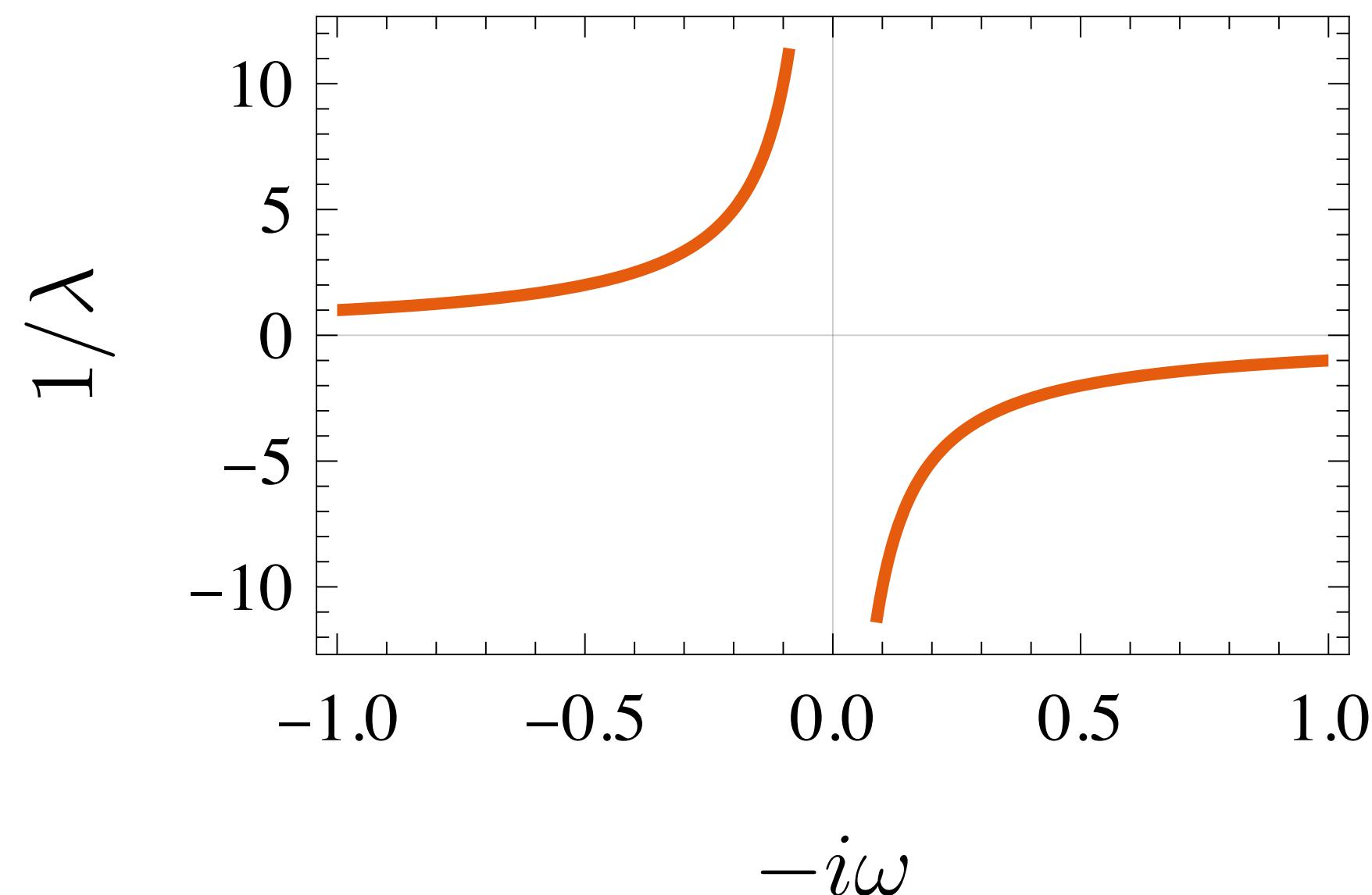
- $k$ -dependent scale  
in counterterms?
- Still unstable for finite  
momentum.

# Gauge fields in AdS4

# SAdS4

In Schwarzschild AdS4, one can obtain

$$\frac{1}{\lambda} = \frac{1}{i\omega}$$



So, it is stable for positive  $\lambda$ .  
But, it is independent of  $T$ .  
Is the zero- $T$  limit safe?

# Summary

- The dual-operators of the gauge fields always violate the unitarity bound.
- As a result, the leading term is always non-normalizable.
- For AdS5, the logarithmic divergence appears.
- In practice, we observe tachyonic modes, whose frequencies depend on the arbitrary cut-off scale.
- After all, is this pathological?  
Is there a way to fix these problem?