

Gravitational Wave signals of FOPT in SO(10)

Based on

Gravitational Wave Signals in a Promising Realization of SO(10) Unification

(arXiv:2506.07182)

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Injun Jeong

2025.12.27



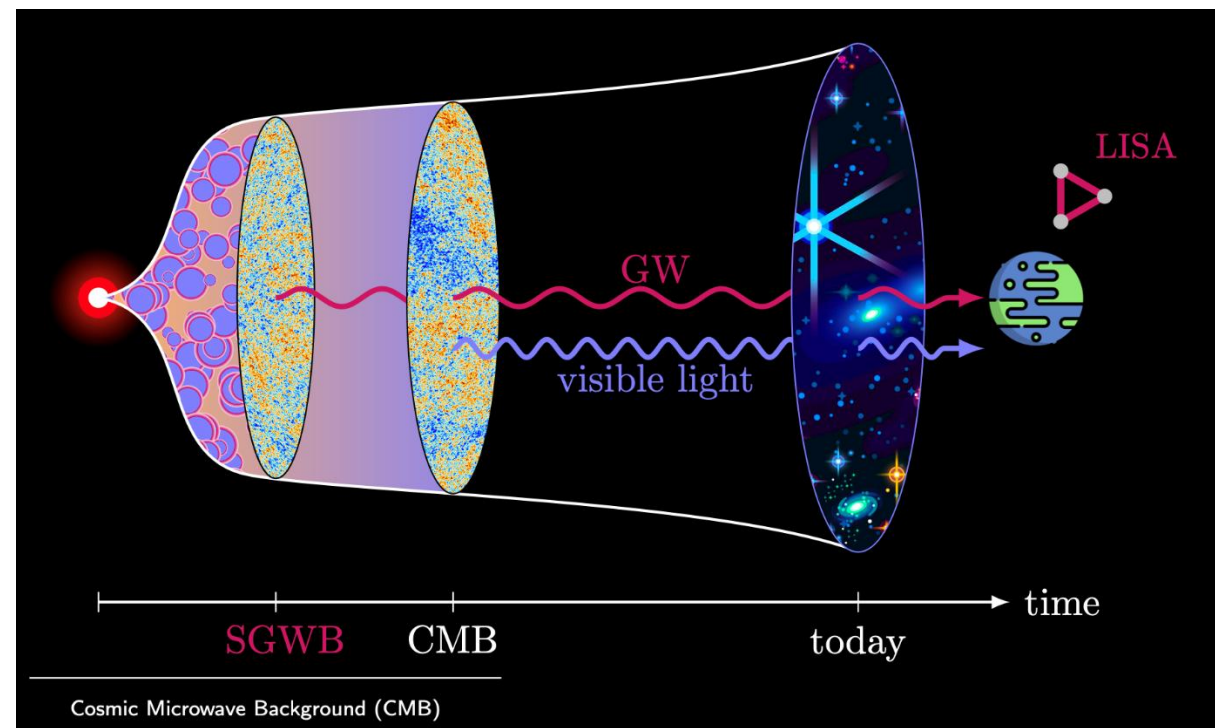
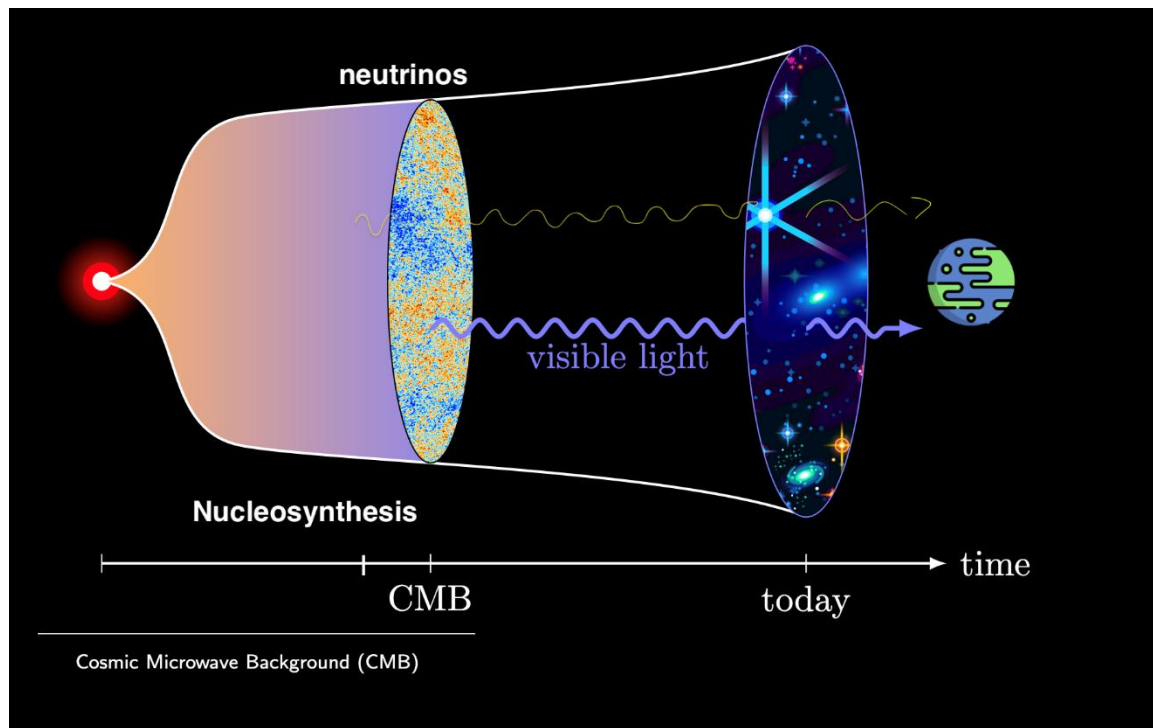
SASGAC 2025



Introduction

- To explore beyond the Standard Model (BSM) in the early Universe

More than photons and neutrinos is needed



Introduction

- For times at Grand Unified Theory (GUT) observation of a stochastic GW background is possible

- Experiments with potential to detect GW signals

- One of GW signals

GW produced by high-temperature first-order phase transitions (FOPT) induced by the symmetry breaking expected in GUTs

-not present in SM

Symmetry breaking

- Breaking chain pattern

$$\begin{aligned} SO(10) &\xrightarrow[45 \ [1]]{M_{\text{GUT}}} SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \\ &\xrightarrow[126 \ [2]]{M_R} SU(3)_C \times SU(2)_L \times U(1)_Y \xrightarrow[10]{M_{\text{EW}}} SU(3)_C \times U(1)_{\text{em}} \end{aligned}$$

Gauge coupling unification without introducing supersymmetry

Compliance with the current experimental limits on the proton decay rate

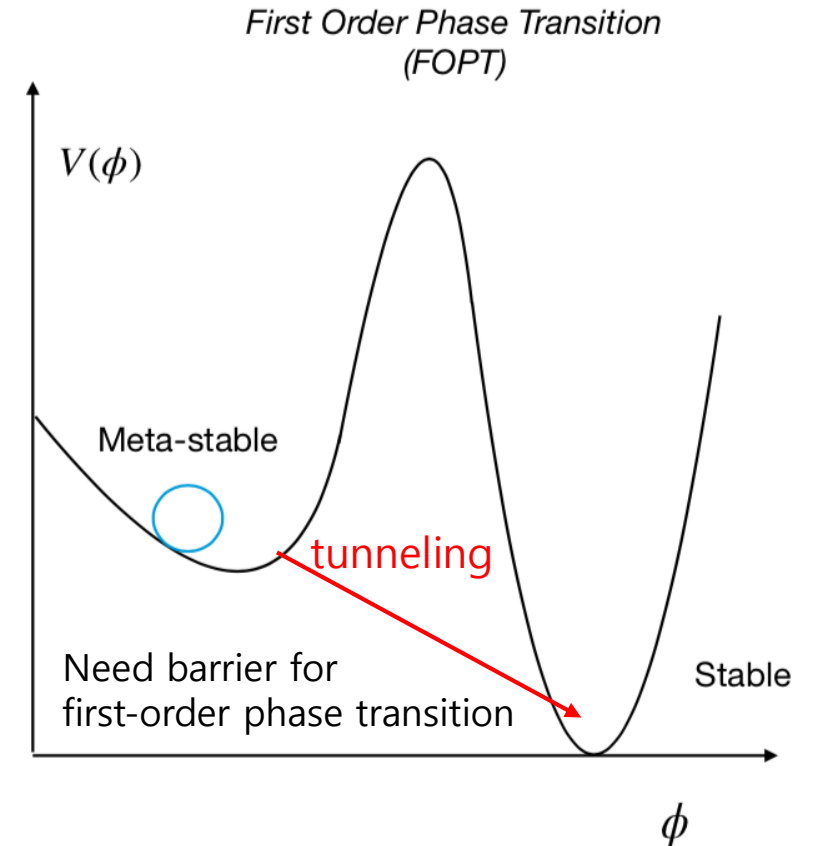
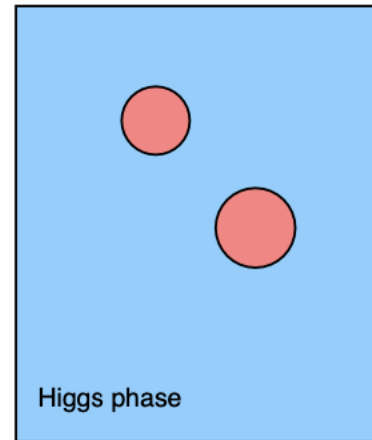
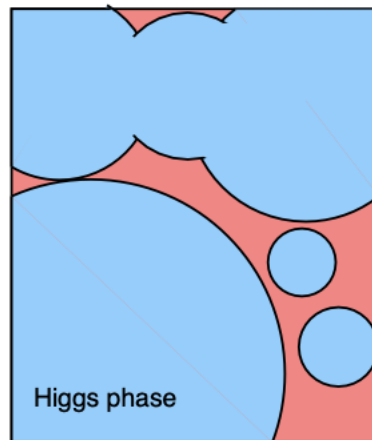
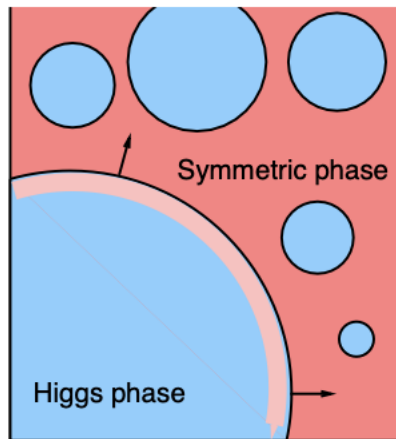
Symmetry breaking

- Minimal $SO(10)$ model – three families of fermions transforming under the representation **16**, gauge bosons, scalars transforming under **10**, **45**, and **126**
- Potential for a first-order phase transition triggered by a vev v of the G_{3221} -singlet component of the **45**

$$G_{3221} := SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L}$$

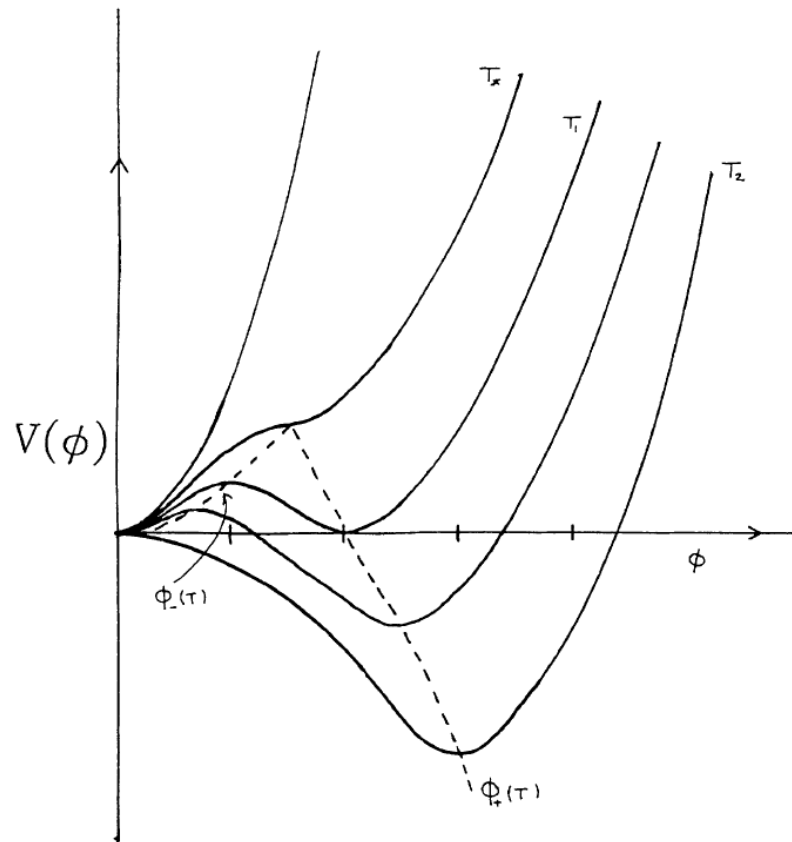
First-order phase transition

- FOPT can generate GW through nucleation, expansion, collision, and merger of bubbles

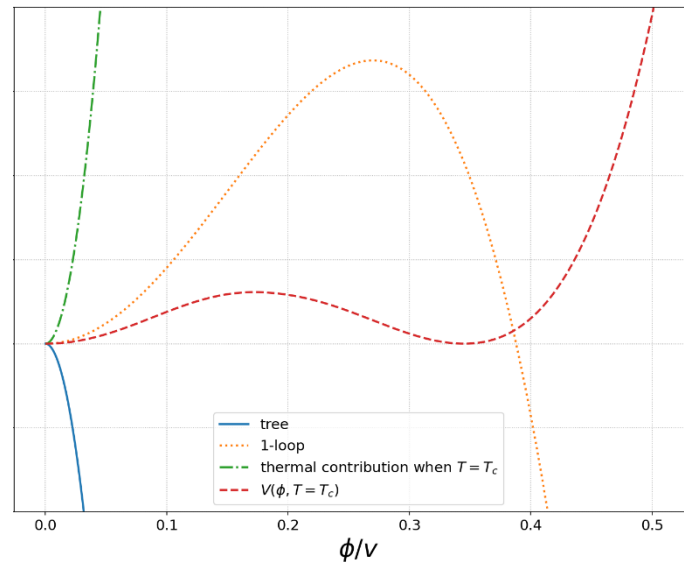


First-order phase transition

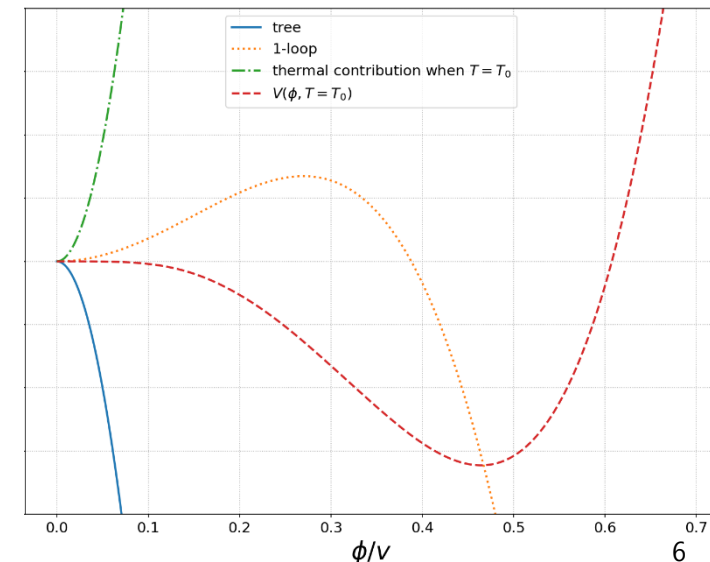
- FOPT can generate GW through nucleation, expansion, collision, and merger of bubbles



$T=T_c$ (Critical temperature)



$T=T_0$ (Barrier vanishes)



First-order phase transition

- Decay rate

$$\Gamma(T) \approx T^4 \left(\frac{S_3}{2\pi T} \right)^{\frac{3}{2}} \exp(-S_3/T)$$

$S_3[\phi_c(r), T] = 4\pi \int_0^\infty r^2 dr \left[\frac{1}{2} \left(\frac{d\phi_c(r)}{dr} \right)^2 + V(\phi_c(r), T) \right]$: Action describing the bubbles forming

- For valid decay rate, the nucleation temperature is calculated by solving : $N(T_n) = \left(\frac{3\bar{M}_{\text{Pl}}}{\pi} \right)^4 \left(\frac{10}{g_*} \right)^2 \int_{T_n}^{T_c} \frac{dT}{T^5} \left(\left(\frac{S_3}{2\pi T} \right)^{3/2} \right) \exp(-S_3/T) \sim 1$

↳ Average number of bubbles nucleated per Hubble horizon ~ 1

Decay rate per comoving volume V

$$\frac{\Gamma}{V} \approx T_n^4 e^{S_3(T_n)/T_n}$$

Sidney Coleman. : Phys. Rev. D 16 (4 Aug. 1977), pp. 1248–1248.

A. Linde, Nucl. Phys. B216(1983) 421 : Nuclear Physics B 223.2 (1983), p. 544.

First-order phase transition

Mark Hindmarsh et al. : Phys. Rev. Lett. 112 (4 Jan. 2014), p. 041301.

Mark Hindmarsh et al. : Phys. Rev. D 92 (12 Dec. 2015),p. 123009.

- **Transition parameters**

Strength of phase transition

$$\alpha = \frac{1}{\rho_{\text{rad}}} \left[\Delta V(\phi_c, T) - \frac{T}{4} \frac{\partial \Delta V(\phi_c, T)}{\partial T} \right] \Big|_{T=T_n}$$

ΔV : difference between true and false vacuum

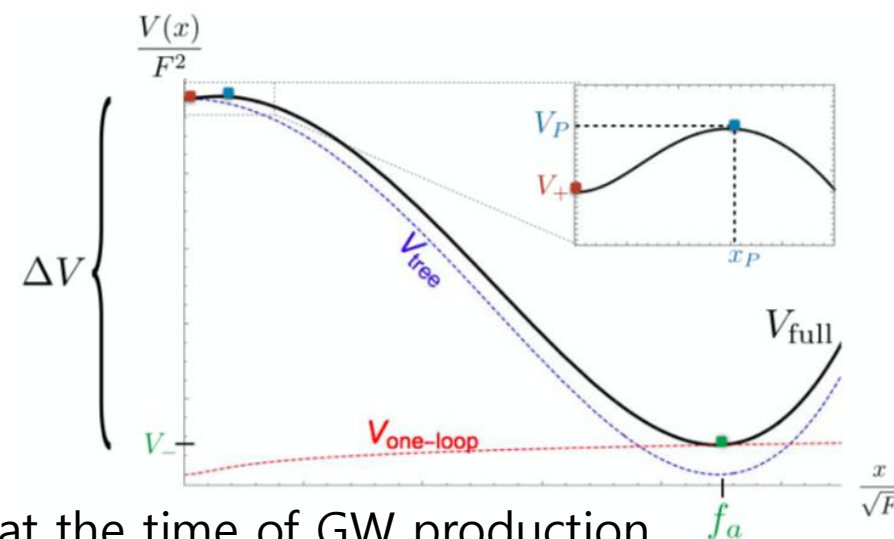
Rate at which the FOPT occurs

$$\beta = H_* T \frac{d}{dT} \left(\frac{S_3}{T} \right) \Big|_{T=T_n \approx T_*}$$

H_* : Hubble parameter at the time of GW production

a measure of the duration of the phase transition

$\beta < H_*$: Expansion of Universe is faster than bubbles



First-order phase transition

- GW signals

Red-shifted sound wave contribution to GW

$$\Omega_{\text{sw}} h^2(f) = 2.65 \times 10^{-6} H_* \tau_{\text{sw}} \left(\frac{\beta}{H_*} \right)^{-1} v_w \left(\frac{\kappa \alpha}{1 + \alpha} \right)^2 \left(\frac{g_*}{100} \right)^{-\frac{1}{3}} \left(\frac{f}{f_{\text{sw}}} \right)^3 \left(\frac{7}{4 + 3(f/f_{\text{sw}})^2} \right)^{7/2}$$

$$f_{\text{sw}} = 1.9 \times 10^{-5} \frac{1}{v_w} \left(\frac{\beta}{H_*} \right) \left(\frac{T_*}{100 \text{ GeV}} \right) \left(\frac{g_*}{100} \right)^{1/6} \text{ Hz}$$

Need to calculate α and β from theory

g_* : degree of freedom at the time of GW production

First-order phase transition

- GW signals

Red-shifted sound wave contribution to GW

$$\Omega_{\text{sw}} h^2(f) = 2.65 \times 10^{-6} H_* \tau_{\text{sw}} \left(\frac{\beta}{H_*} \right)^{-1} v_w \left(\frac{\kappa_\nu \alpha}{1 + \alpha} \right)^2 \left(\frac{g_*}{100} \right)^{-\frac{1}{3}} \left(\frac{f}{f_{\text{sw}}} \right)^3 \left(\frac{7}{4 + 3(f/f_{\text{sw}})^2} \right)^{7/2}$$

$\tau_{\text{sw}} = \min \left[\frac{1}{H_*}, \frac{R_*}{\bar{U}_f} \right]$: time scale of the duration of phase transition where $H_* R_* = \max(v_w, c_s) (8\pi)^{1/3} (\beta/H_*)^{-1}$

Approximation $\bar{U}_f^2 \approx \frac{3}{4} \left(\frac{\kappa_\nu \alpha}{1 + \alpha} \right)$ is restricted to $\alpha \lesssim 0.1$ $\bar{U}_f \lesssim 0.05$

Chiara Caprini et al. : Journal of Cosmology and Astroparticle Physics 2016.04 (Apr. 2016), p. 001.

From numerical simulations

$$\kappa_\nu \simeq \begin{cases} \alpha(0.73 + 0.83\sqrt{\alpha} + \alpha)^{-1}, & v_w \sim 1 \\ v_w^{6/5} 6.9\alpha (1.36 - 0.037\sqrt{\alpha} + \alpha)^{-1}, & v_w \ll 1 \end{cases}$$

José R. Espinosa et al. : Journal of Cosmology and Astroparticle Physics 2010.06 (June 2010), p. 028.

Effective potential

- Tree-level SO(10)-symmetric potential for the scalar **45**

$$V_0(\phi) = -\frac{\mu^2}{2} \text{Tr} \phi^2 + \frac{a_0}{4} (\text{Tr} \phi^2)^2 + \frac{a_2}{4} \text{Tr} \phi^4 \quad \phi = \frac{i}{\sqrt{2}} \phi_{\alpha\beta} T^{\alpha\beta}$$

- Classical field is proportional to generator U_{15} in SU(4)

$$V_0(\phi_c) = -\frac{1}{2} \mu^2 \varphi_c^2 + a_0 \varphi_c^4 + \frac{1}{6} a_2 \varphi_c^4 \quad \phi_c = \sqrt{2} i \varphi_c U_{15}$$

At the potential minimum, $\varphi_c = \underline{v \equiv \sqrt{3} \omega_{BL} \equiv M_{\text{GUT}}}$

Kate řina Jarkovská et al. Phys. Rev. D 105 (9 May 2022), p. 095003

- Tree-level minimization condition

$$\mu^2 = \left(4a_0 + \frac{2}{3}a_2\right) v^2$$

Effective potential

- Calculating generator and trace in potential from $T^{\alpha\beta}$

```
In[ ]:= Gens[[1, 2]] // MatrixForm
Out[ ]//MatrixForm=
```

$$\begin{pmatrix} 0 & -\frac{i}{\sqrt{2}} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \frac{i}{\sqrt{2}} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

```
In[ ]:= Gens[[1, 2]].Gens[[1, 2]] // MatrixForm
Out[ ]//MatrixForm=
```

$$\begin{pmatrix} \frac{1}{2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{1}{2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

```
In[ ]:= Tr[Gens[[1, 2]].Gens[[1, 2]]]
Out[ ]:= 1
```

```
In[ ]:= UGens[[15]] // MatrixForm
Out[ ]//MatrixForm=
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$$\begin{pmatrix} 0 & \frac{\phi_c}{\sqrt{3}} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -\frac{\phi_c}{\sqrt{3}} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{\phi_c}{\sqrt{3}} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -\frac{\phi_c}{\sqrt{3}} & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -\frac{\phi_c}{\sqrt{3}} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{\phi_c}{\sqrt{3}} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

Effective potential

- Calculating generator and trace in potential from $T^{\alpha\beta}$

```
In[*]:= V0 = -μ² / 2 Sum[φ[i, j] × φ[k, l] × Tr[Gens[[i, j]].Gens[[k, l]]], {i, 9}, {j, i + 1, 10}, {k, 1, 9}, {l, k + 1, 10}] +
a0 Sum[φ[i, j] × φ[k, l] × Tr[Gens[[i, j]].Gens[[k, l]]], {i, 9}, {j, i + 1, 10}, {k, 1, 9}, {l, k + 1, 10}]^2 +
a2 Sum[φ[i, j] × φ[k, l] × φ[m, n] × φ[p, q] × Tr[Gens[[i, j]].Gens[[k, l]].Gens[[m, n]].Gens[[p, q]]],
{i, 9}, {j, i + 1, 10}, {k, 1, 9}, {l, k + 1, 10}, {m, 9}, {n, m + 1, 10}, {p, 9}, {q, p + 1, 10}]
```

```
Out[*]= -1/2 μ² (φ[1, 2]² + φ[1, 3]² + φ[1, 4]² + φ[1, 5]² + φ[1, 6]² + φ[1, 7]² + φ[1, 8]² + φ[1, 9]² + φ[1, 10]² + φ[2, 3]² + φ[2, 4]² + φ[2, 5]² +
φ[2, 6]² + φ[2, 7]² + φ[2, 8]² + φ[2, 9]² + φ[2, 10]² + φ[3, 4]² + φ[3, 5]² + φ[3, 6]² + φ[3, 7]² + φ[3, 8]² + φ[3, 9]² +
φ[3, 10]² + φ[4, 5]² + φ[4, 6]² + φ[4, 7]² + φ[4, 8]² + φ[4, 9]² + φ[4, 10]² + φ[5, 6]² + φ[5, 7]² + φ[5, 8]² + φ[5, 9]² +
φ[5, 10]² + φ[6, 7]² + φ[6, 8]² + φ[6, 9]² + φ[6, 10]² + φ[7, 8]² + φ[7, 9]² + φ[7, 10]² + φ[8, 9]² + φ[8, 10]² + φ[9, 10]²) +
a0 (... 1 ...)² + a2 (1/2 φ[1, 2]⁴ + φ[1, 2]² φ[1, 3]² + 1/2 φ[1, 3]⁴ + ... 1240 ... + φ[8, 10]² φ[9, 10]² + 1/2 φ[9, 10]⁴)
```

$$\phi_c = \sqrt{2}i \varphi_c U_{15}$$

$$V_0(\phi_c) = -\frac{1}{2}\mu^2\varphi_c^2 + a_0\varphi_c^4 + \frac{1}{6}a_2\varphi_c^4$$

Effective potential

Lukáš Gráf et al. Phys. Rev. D 95 (7 Apr. 2017)

- 1-loop gauge boson contribution

Field-dependent mass matrix for g_1, g_2

$$V_1^g(\phi_c) = \frac{3}{64\pi^2} \sum_{i=g1,g2} n_i m_i^4(\phi_c) \left(\ln \frac{m_i^2(\phi_c)}{\mu_r^2} - \frac{5}{6} \right) \quad M_g^2(\phi_c)_{(\alpha\beta)(\gamma\delta)} = \frac{g^2}{2} \text{Tr} \left([T^{(\alpha\beta)}, \phi_c] [T^{(\gamma\delta)}, \phi_c] \right)$$

- 1-loop scalar contribution

$$V_1^s(\phi_c) = \frac{1}{64\pi^2} \sum_{i=s1,s2,s3,\chi} n_i m_i^4(\phi_c) \left(\ln \frac{|m_i^2(\phi_c)|}{\mu_r^2} - \frac{3}{2} \right) \quad M_s^2(\phi_c)_{(\alpha\beta)(\gamma\delta)} = \frac{\partial^2 V_0(\phi)}{\partial \phi_{(\alpha\beta)} \partial \phi_{(\gamma\delta)}} \Big|_{\phi=\phi_c}$$

In the $\overline{\text{MS}}$ renormalization scheme with renormalization scale, $\mu_r = v$

Field-dependent mass squared	Particle type	Multiplicity n_i
$m_{g1}^2(\phi_c)$	Gauge boson	24
$m_{g2}^2(\phi_c)$	Gauge boson	6
$m_{s1}^2(\phi_c)$	Scalar	6
$m_{s2}^2(\phi_c)$	Scalar	1
$m_{s3}^2(\phi_c)$	Scalar	8
$m_\chi^2(\phi_c)$	NGB	30

Effective potential

- 1-loop thermal contribution

$$V_{\text{th}}(\phi_c, T) = \sum_{i=g,s,\chi} \frac{n_i}{2\pi^2} T^4 J_b(x) \quad , \quad x \equiv \frac{m_i(\phi_c)}{T}$$

$$J_b(x) = \Re \int_0^\infty dy y^2 \ln \left[1 - e^{-\sqrt{y^2 + x^2}} \right]$$

"Decay of the false vacuum at finite temperature:
A. Linde, Nucl. Phys. B216(1983) 421

- Complete 1-loop effective potential

$$V(\phi_c, T) = V_0(\phi_c) + V_1^g(\phi_c) + V_1^s(\phi_c) + V_{\text{th}}(\phi_c, T)$$

value of scalar field in the minimum with 1-loop level , $\phi_m \neq v$

Adjusting value of μ^2 to make the minimum with 1-loop level $\cong v$

After Newton's method iteration, $0.99v \leq \phi_m \leq 1.01v$

Effective potential

- Consideration of 2-loop correction

Estimating the uncertainty by neglecting higher loop order

$$\Delta^{\text{2-loop}} := \left\langle \frac{V(\phi_c, 0)|_{\mu_r=2v} - V(\phi_c, 0)|_{\mu_r=v/2}}{(V(\phi_c, 0)|_{\mu_r=2v} + V(\phi_c, 0)|_{\mu_r=v/2})/2} \right\rangle_{0 < \phi_c < 1.2v} < 0.5$$

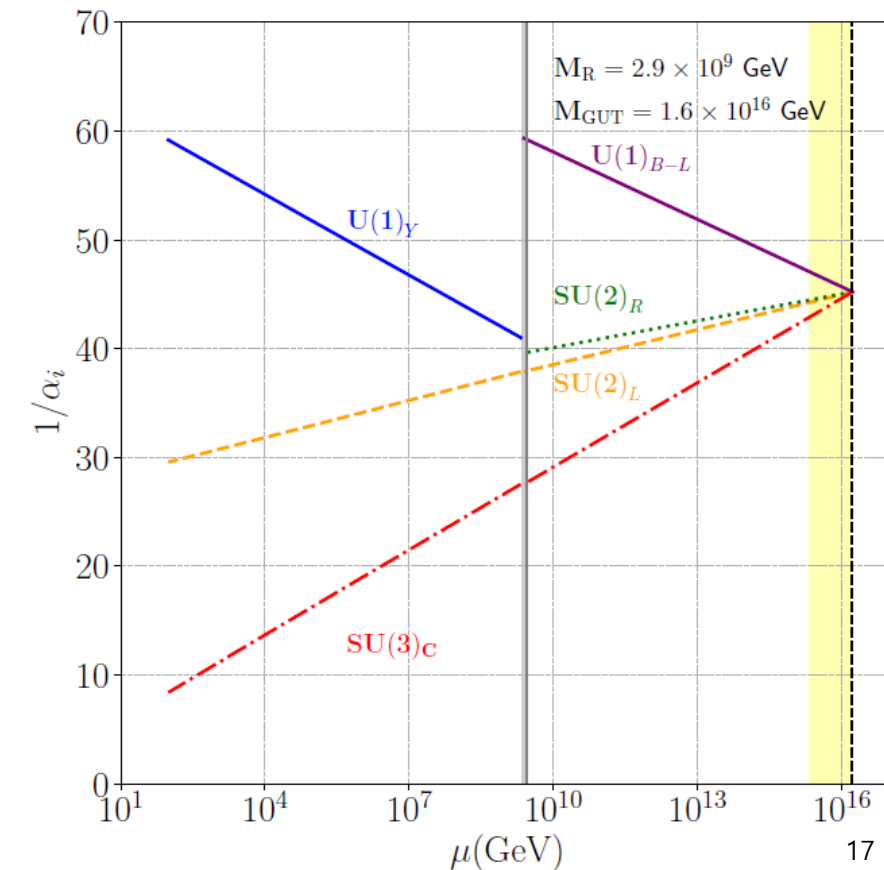
Constraints on the model

- Beta function between M_{GUT} and M_R

$$dg_a/dt = g_a^3 b_a / 16\pi^2 + g_a^3 / (16\pi^2)^2 \left[\sum_{b=1}^4 b_{ab} g_b^2 \right]$$

$$a = 3, 2L, 2R, B - L$$

Marie E. Machacek and Michael T. Vaughn. :
Nuclear Physics B 249.1 (1985), pp. 70–92.



Constraints on the model

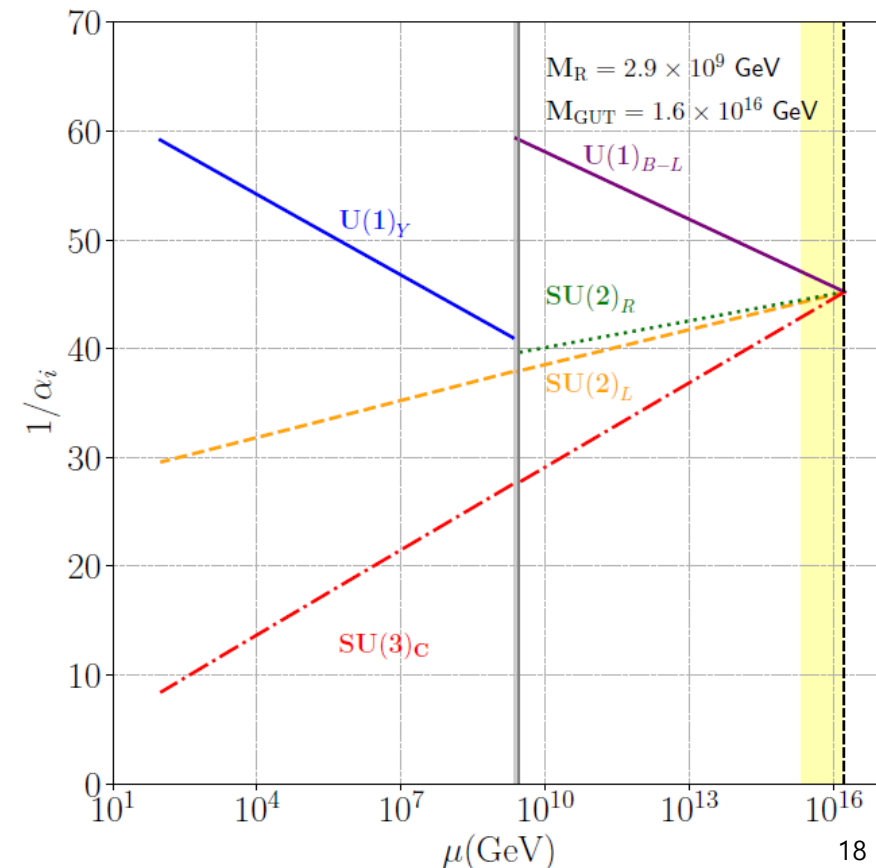
- Beta function between M_R and M_{EW}

$$dg_a/dt = g_a^3 b_a / 16\pi^2 + g_a^3 / (16\pi^2)^2 \left[\sum_{b=1}^3 b_{ab} g_b^2 - C_a^t y_t^2 \right]$$

$$M_R^{2\text{loop}} = (2.9 \pm 1.0) \times 10^9 \text{ GeV},$$

$$M_{\text{GUT}}^{2\text{loop}} = (1.60 \pm 1.0) \times 10^{16} \text{ GeV}$$

Marie E. Machacek and Michael T. Vaughn. :
Nuclear Physics B 249.1 (1985), pp. 70–92.



Constraints on the model

- Gauge coupling transformation in breaking

$$b_a^{(1)} = \begin{pmatrix} -7 \\ -3 \\ -7/3 \\ 11/2 \end{pmatrix}, \quad b_{ab}^{(2)} = \begin{pmatrix} -26 & 9/2 & 9/2 & 1/2 \\ 12 & 8 & 3 & 3/2 \\ 12 & 3 & 80/3 & 27/2 \\ 12 & 9/2 & 81/3 & 61/2 \end{pmatrix}$$

Yann Mambrini et al. : Phys. Rev. D 91 (9 May 2015), p. 095010.

$$g_2^{SM}(M_R) = g_{2L}^{G_{3221}}(M_R),$$

$$g_1^{SM}(M_R) = \left[\frac{3}{5} \frac{1}{g_{2R}^{G_{3221}}{}^2(M_R)} + \frac{2}{5} \frac{1}{g_{B-L}^{G_{3221}}{}^2(M_R)} \right]^{-1/2}$$

Joydeep Chakrabortty, Rinku Maji, and Stephen F. King. : Phys. Rev.D 99 (9 May 2019), p. 095008.

$$g_3^{SM}(M_R) = g_3^{G_{3221}}(M_R),$$

Constraints on the model

- Avoiding negative mass-squared tachyons

$$a_0 \in (0.0, 0.2) \quad , \quad a_2 \in (-0.05, -0.01)$$

Kate řina Jarkovská et al. : Phys. Rev. D 105 (9 May 2022), p. 095003

- Proton decay

$$\Gamma(p \rightarrow \pi^0 e^+)$$

For the minimal G3221 model, $(2.4 \pm 1.6) \times 10^{36}$ years

Eung Jin Chun and L. Velasco-Sevilla. :
Phys. Rev. D 106 (3 Aug. 2022), p. 035008.

Current experimental bound of $\tau(p \rightarrow \pi^0 e^+) > 2.34 \times 10^{34}$ years

A. Takenaka et al : Phys. Rev. D 102.11(2020), p. 112011.

Projected bound of 7.8×10^{34} years

Hyper-Kamiokande Proto-Collaboration et al. Hyper-Kamiokande
Design Report.2018. arXiv: 1805.04163 [physics.ins-det].

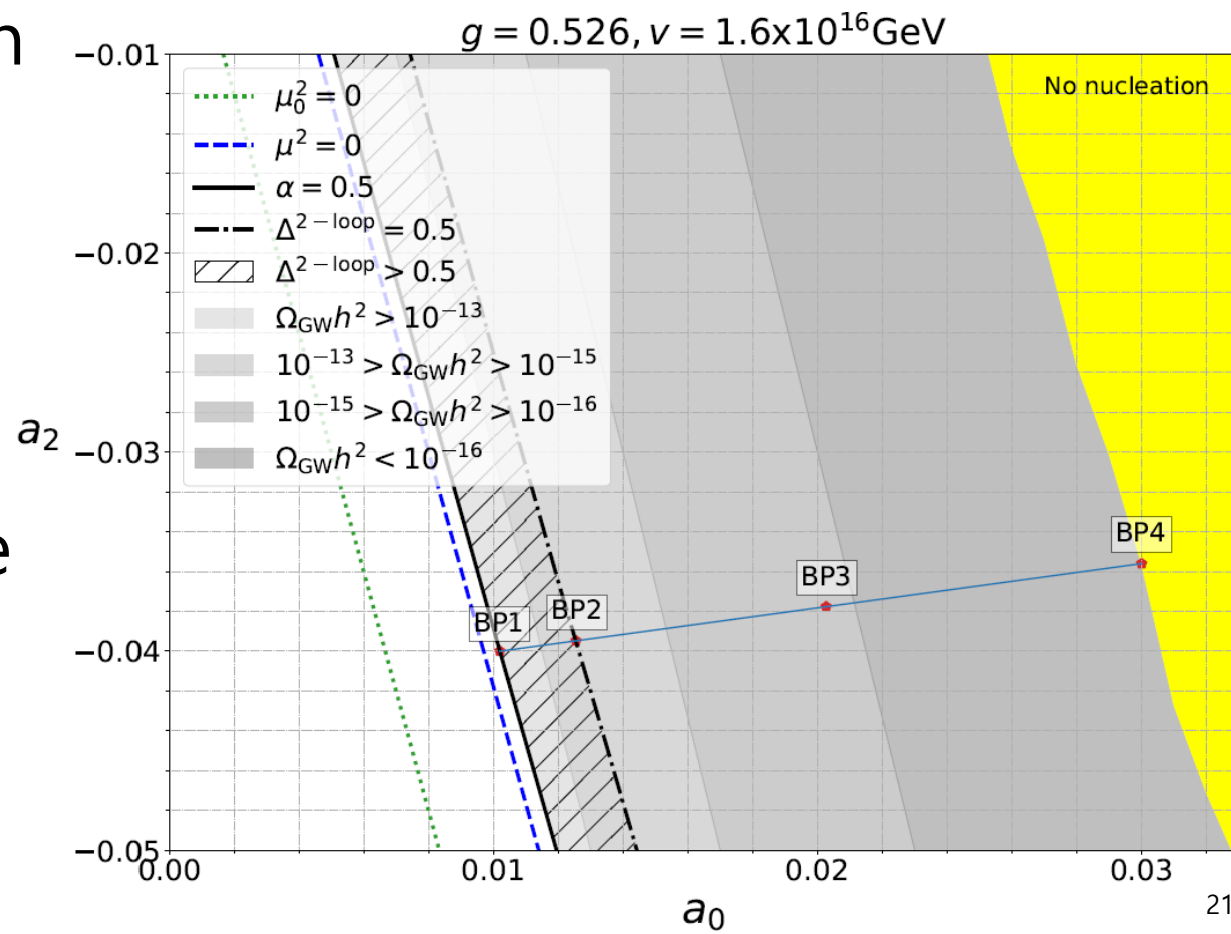
Result

- GW parameter space for a_0 and a_2

GW signal approximately depends on linear combination of a_0 and a_2

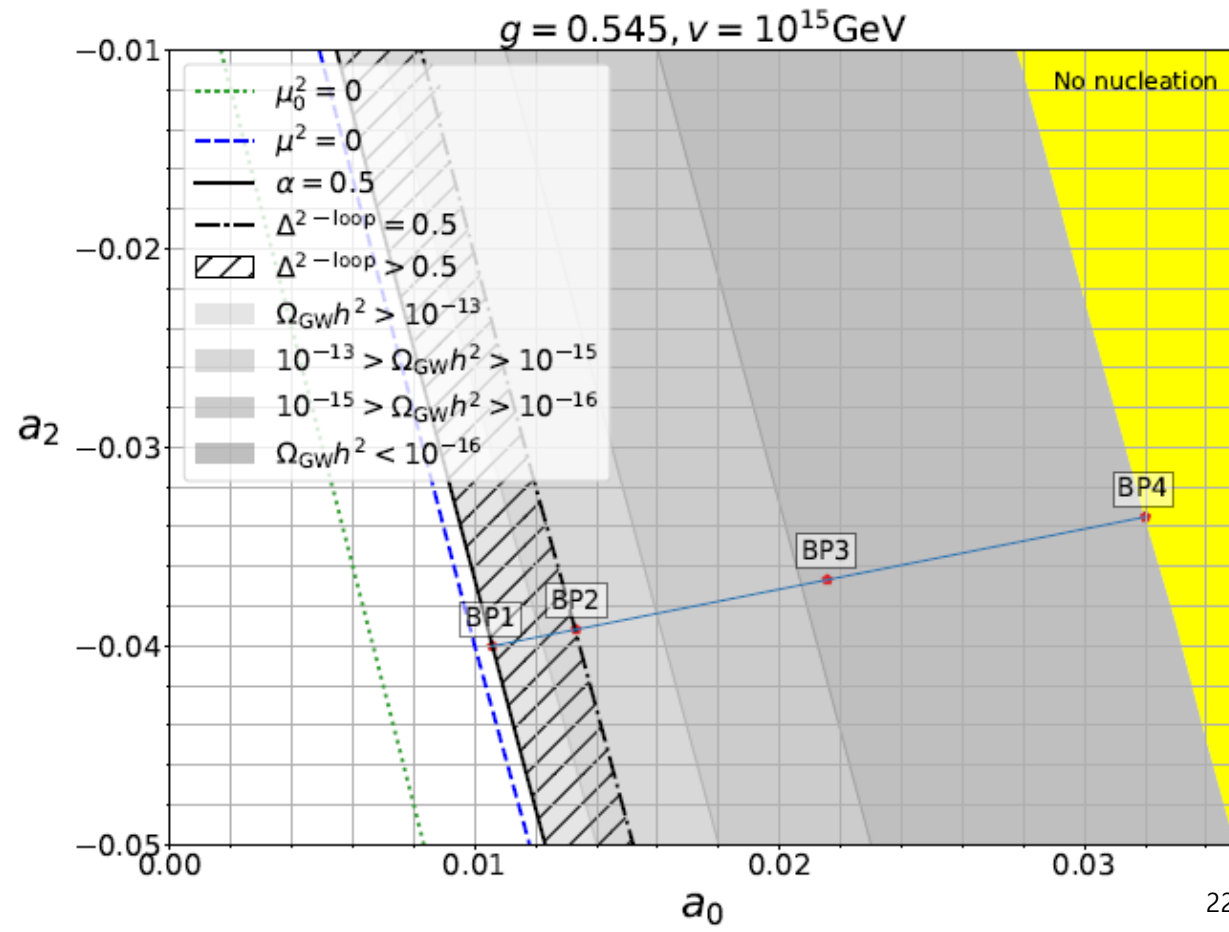
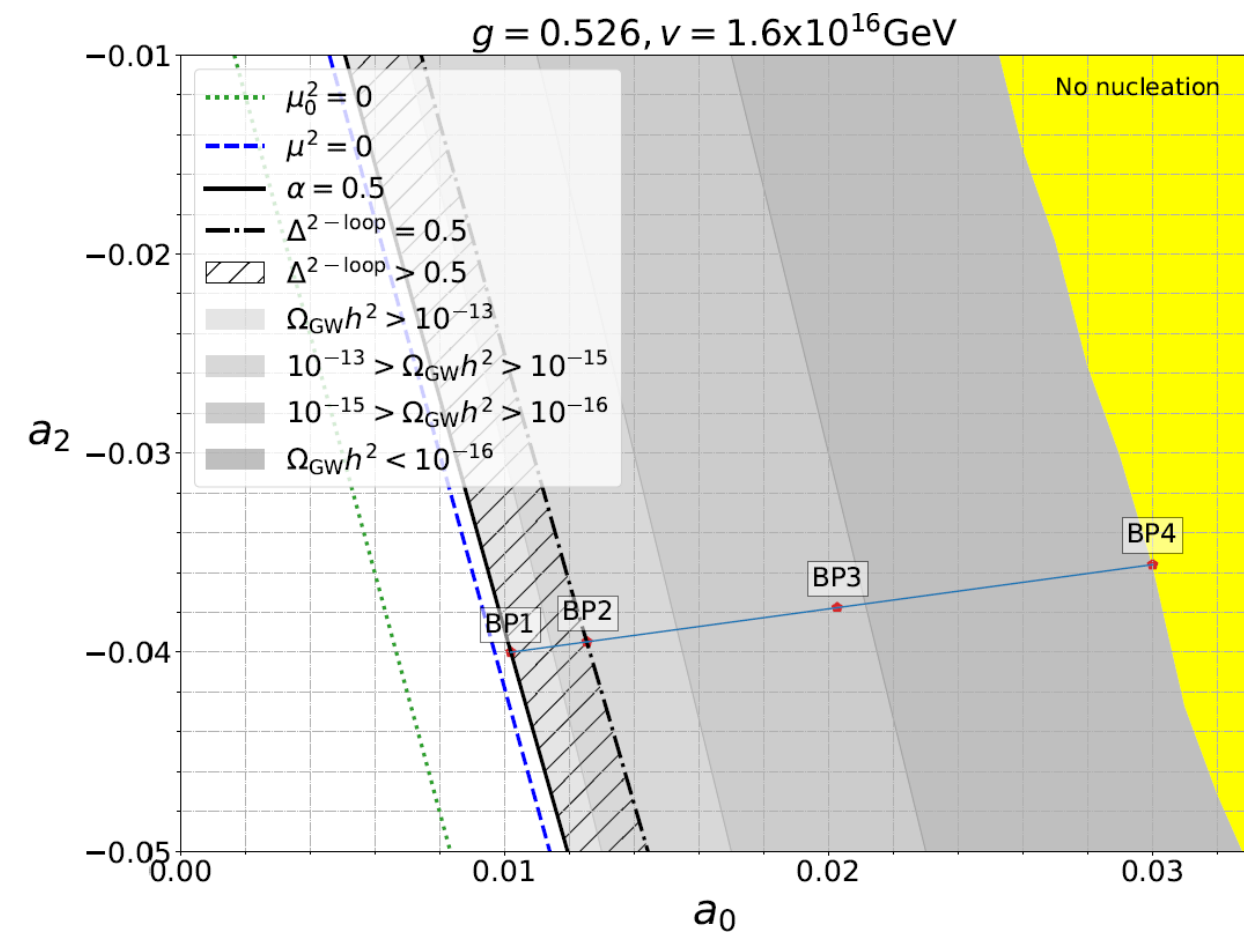
Numerical problem when $T_0 \rightarrow T_c$

Region between BP1 and BP2 can be unstable for higher order



Result

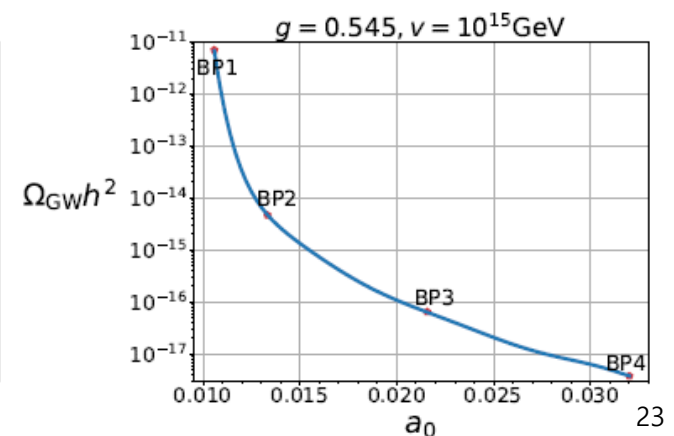
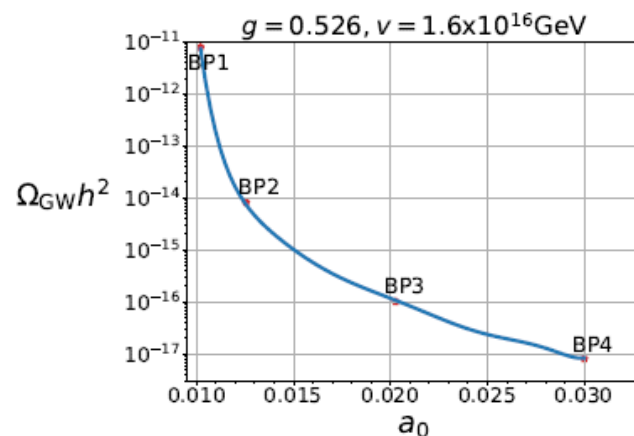
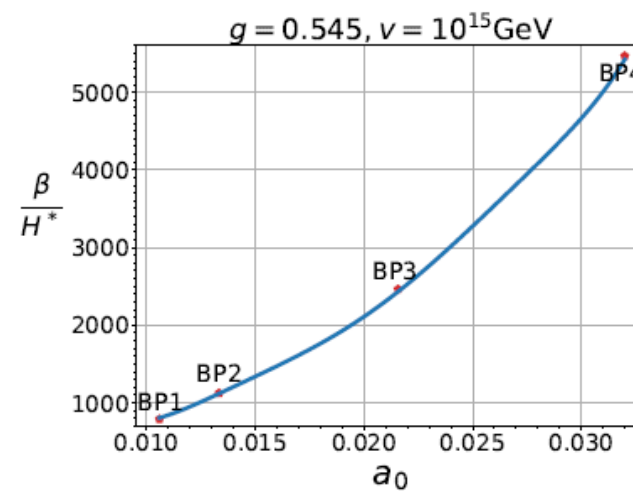
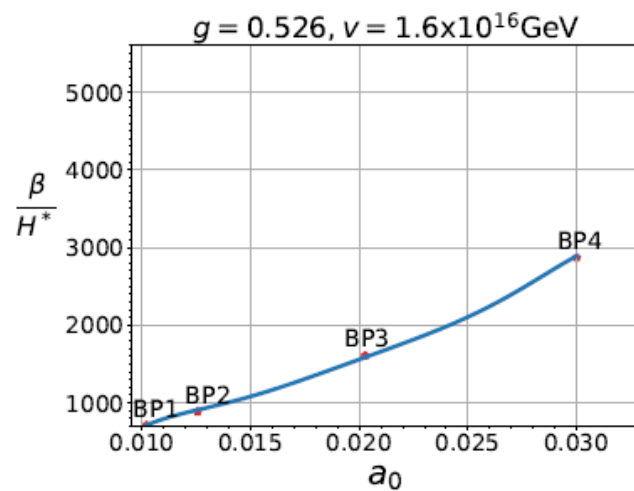
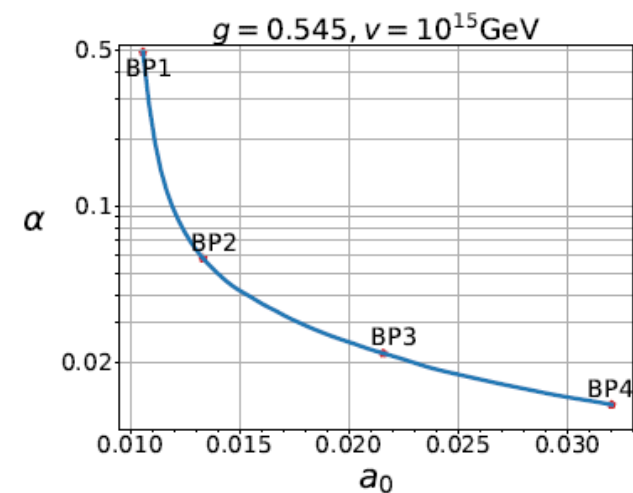
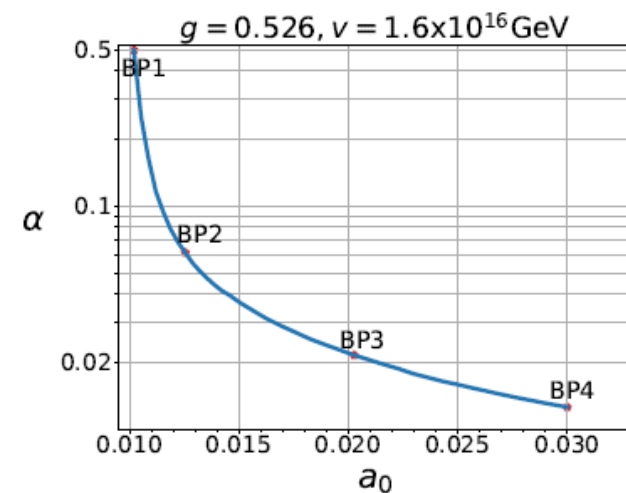
Similar behavior between two scales



Result

Behavior of PT parameters
through the BP line

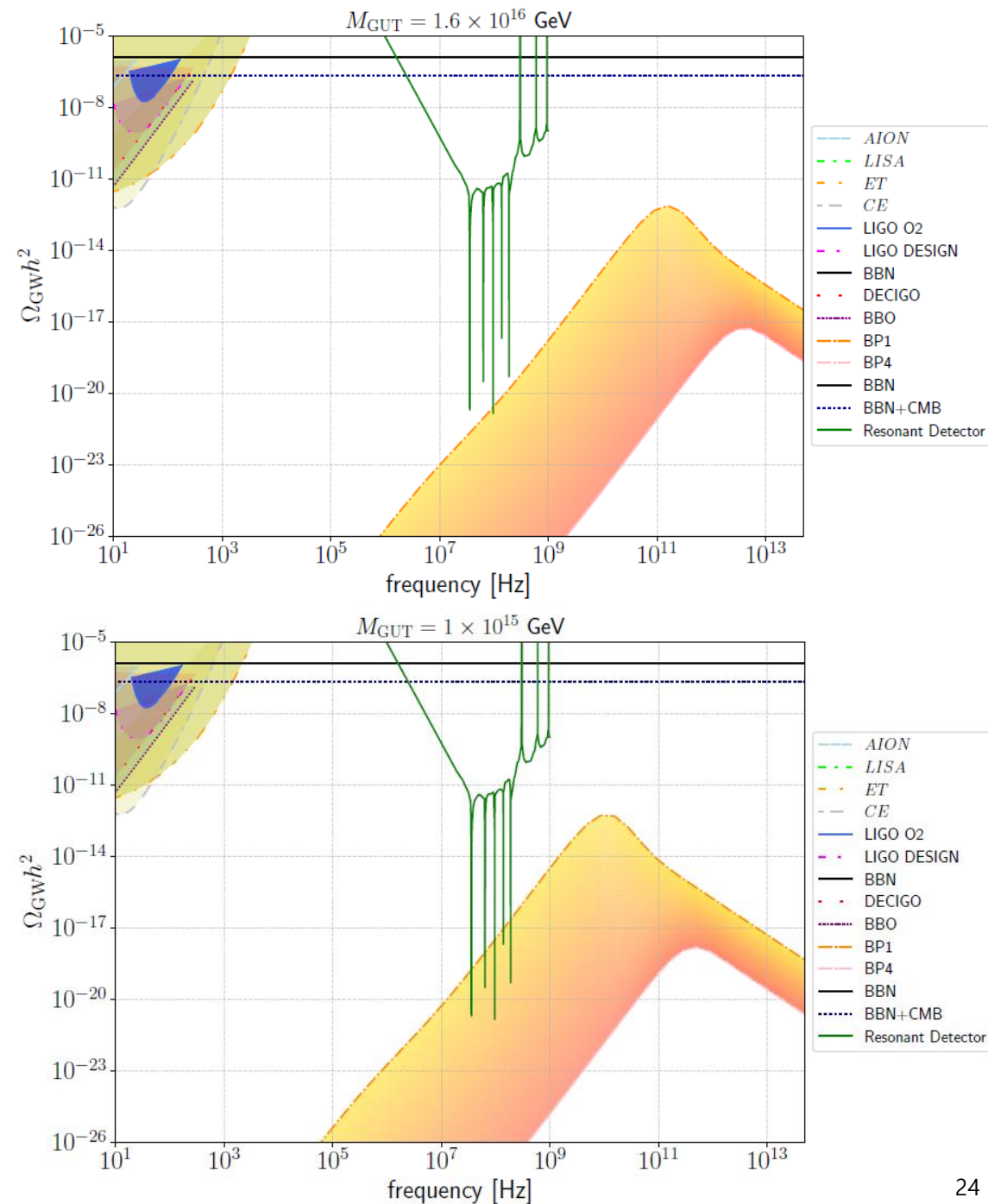
$\Omega_{\text{GW}} h^2$ is proportional to $\alpha^2 / (\beta / H_*)$



Result

Peak frequency can be changed as scale changes

With resonant detector there is possibility to detect signals in lower scale than inflation scale



Conclusion

- Effective potential including the one-loop and thermal corrections with compact analytic expression
 - with CosmoTransitions
- Gauge coupling unification in this scenario, between 10^{15} and 10^{16} GeV
- FOPT takes place in a significant part of the parameter space allowed by the absence of tachyons and by proton decay
- GW signal peaks at 10^{10} to 10^{11} Hz

Conclusion

- GW signal from FOPT would be the smoking gun of new physics
- Strongest signal where the accuracy of the computation is limited by large contributions of higher loop orders
- For future work, improve the calculation of the effective potential beyond one-loop precision

Thank You

$$\Omega_{\rm turb} h^2(f) =$$

$$3.35 \times 10^{-4} \left(\frac{\beta}{H_*} \right)^{-1} v_w \left(\frac{\epsilon \kappa_\nu \alpha}{1 + \alpha} \right)^{\frac{3}{2}} \left(\frac{g_*}{100} \right)^{-\frac{1}{3}} \frac{(f/f_{\rm turb})^3 (1 + f/f_{\rm turb})^{-\frac{11}{3}}}{1 + 8\pi \frac{f}{h_*}}$$

$$h_* = 16.5 \frac{T_*}{10^8 \text{ GeV}} \left(\frac{g_*}{100} \right)^{1/6} \text{ Hz}$$

$$f_{\rm turb} = 2.7 \times 10^{-5} \frac{1}{v_w} \left(\frac{\beta}{H_*} \right) \left(\frac{T_*}{100 \text{ GeV}} \right) \left(\frac{g_*}{100} \right)^{1/6} \text{ Hz}$$